

# THSA



TEXAS HEALTH SERVICES AUTHORITY



BOARD OF DIRECTORS MEETING

CAPITOL EXTENSION BUILDING  
SECOND FLOOR, ROOM E2.010

NOVEMBER 18, 2010

# Meeting Agenda

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10:00 – 10:05 Call to Order, Welcome, Announcements

10:05 – 11:00 Board Business – *Action Item*

- Approval of Minutes of the August 26, 2010 Meeting
- Review and Consideration of Bylaws
- Review and Consideration of Committees and Task Forces
- Review and Consideration of Budget
- Review and Consideration of Contract between HHSC and THSA
- Approval of Financial Reports
- Approval of Upcoming Meeting Agenda

11:00 – 11:45 CEO Report – *Briefing Item*

- State HIE Implementation Update
- Work Plan and Staff Activities Update
- Operations and other Administrative Items Update

11:45 – 12:30 Break

# Meeting Agenda

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12:30 – 1:00 State HIT Coordinator Update – *Briefing Item*

- Medicaid HIT Initiatives
- HIT/HIE Federal Policy Development Update

1:00 – 1:15 Texas HIT Regional Extension Center Update – *Briefing Item*

1:15 – 1:30 Public Comment

1:30– 1:35 Wrap Up and Adjourn

# Board Business

Presented by:

Tony Gilman

Chief Executive Officer

THSA Board of Directors Meeting

November 18, 2010

# Board Business

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- Approval of Minutes of the August 26, 2010 Meeting
- Review and Consideration of Bylaws
- Review and Consideration of Committees and Task Forces
  - Collaboration Council
  - Policy Task Forces
  - Finance and Audit Committees
- HHSC/THSA Contract
- Financial Reports
- Review and Consideration of Budget
- 2011 Meeting Schedule

# CEO REPORT

Presented by:

Tony Gilman

Chief Executive Officer

THSA Board of Directors Meeting

November 18, 2010

# **State HIE Implementation Update**

## **Status of Texas HIE Plan**

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- Texas HIE Strategic and Operational Plans submitted to ONC on September 10, 2010
- ONC provided comments on the Texas HIE Plan on October 14, 2010
- Texas response to ONC comments submitted on October 25, 2010

## ONC Comments - Summary

Requested additional information on the white space strategy

Requested additional detail on timeline for Local HIE Grant Program RFA, white space RFP, and grant/contract award dates

Requested additional strategic and operational detail on strategies to support pharmacy and lab connectivity

Requested that the RFA be included as an addendum to the Texas HIE Plan and identified areas where additional detail was needed in the RFA

Requested additional detail on build out of state-level technical services

## Texas Response - Summary

Added additional detail on the white space strategy to Strategic Plan Section 4.1.3, Contract for HIE Services for Areas without Local HIE Activity

Added a month-by month work plan for the remainder of 2010 and all of 2011

Added a new section to the Strategic Plan, Section 4.3.1, Local Strategies for Addressing Lab and Pharmacy Automation and Connectivity

Added new language relating to lab and pharmacy connectivity to Operational Plan, Section 15.1.4, White Space Strategy

Addressed all comments on the Local HIE Grant Program RFA and added the RFA as Appendix F

Added additional detail on state-level shared services to the Strategic Plan, Section 4.1.1, Develop General State-Level Operations. Added a new section to the Operational Plan, Section 15.2, State-Level Shared Services

# State HIE Implementation Update

## Local HIE Grant Program

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- The Local HIE Grant Program will support new or expanding HIO/RHIO initiatives by partially funding planning, development, and operations of local or regional HIE networks
- Local HIE Grant Program RFA
  - Disbursement of Funds
  - Proof of Provider Commitments
  - Candidate Eligibility Criteria
  - Business and Operational Plan Requirements

# State HIE Implementation Update

## Local HIE Grant Program

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- **Disbursement of Funds**
  - All approved applicants will receive either \$75,000 for planning or 15% of total allotment (whichever is higher)
  - Total allotment of funding for successful applicants is based on number of hospitals and physicians the HIO/RHIO commits to connect
  - 25% local match requirement (cash or in-kind)
- **Proof of Provider Commitments**
  - Successful applicants are required to submit proof of provider commitments
  - Hospitals and physicians will be asked to provide letters of commitments to exchange information through a HIO/RHIO
  - Ongoing funding will be contingent on a HIO/RHIO meeting its provider commitments

# State HIE Implementation Update

## Local HIE Grant Program

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### Schedule of Proof of Provider Commitments

	Hospitals	Physicians
With application (est. Jan 2011)	20%	20%
With plan submission (est. July 2011)	20%	20%
Third quarter report (est. Oct 2011)	20%	20%
Fourth quarter (est. Jan 2012)	20%	20%

# State HIE Implementation Update

## Local HIE Grant Program

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- Candidate Eligibility Criteria
  - HIO/RHIO
  - Nonprofit Corporation
  - Diverse Governing Board
  - Achieved eHealth Initiative Stage 3 in HIE Development
  - Must agree to collaborate and adhere to state and federal guidelines and policy on technology standards and privacy and security
  - Must agree to support e-prescribing, electronic lab ordering and results delivery, and clinical summary exchange and future HIE elements defined through Stage 2 and Stage 3 of meaningful use
  - Patient Centric
  - Sustainability Direction and Approach

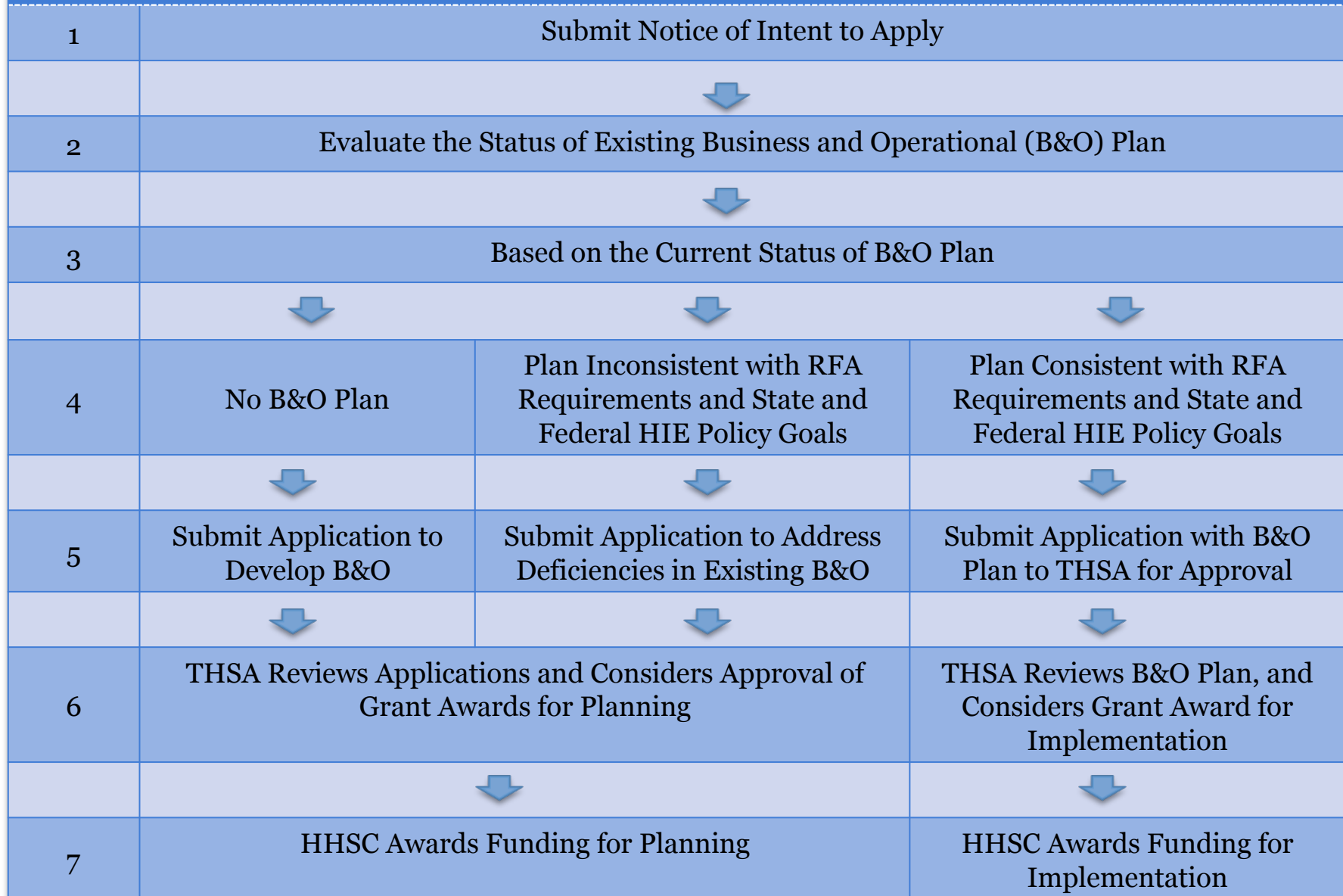
# State HIE Implementation Update

## Local HIE Grant Program



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- Business and Operational Plan Requirements
  - Vision, Mission, and Principles
  - Approach to privacy and security, and technical standards
  - Description of the organization's current or planned technical architecture
  - Description of the organization's financial model and approach to achieving sustainability after the grant period
  - Approach to providing outreach and education to consumers, providers, hospitals, pharmacies, and labs
  - A gap analysis addressing the core HIE services (e-prescribing, lab ordering and results delivery, and clinical summary exchange)
  - Approach to quality reporting and analysis
  - Approach to program planning and evaluation

## Application Process and Deliverables: PRE-AWARD



## Application Process and Deliverables: POST-AWARD

8	Submit B&O Plan to THSA within 6 Months	Submit Updated B&O Plan to THSA within 3 Months	
			
9	THSA Reviews and Considers Plan for Approval	THSA Reviews and Considers Updated Plan for Approval	
			
10	HHSC Awards Funding for Implementation		
			
11	Development and Operation of HIO/RHIO Consistent with Approved B&O Plan		
			
12	Evaluation of Plan and Sustainability Model		

# State HIE Implementation Update

## Local HIE Grant Program

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- Draft RFA released on October 6, 2010 to solicit stakeholder feedback
  - Seven (7) individuals/organizations submitted comments
- Tentative Timeline
  - Release RFA on December 7, 2010
  - Vendor Conference on December 20, 2010
  - Vendor Questions Due on December 21, 2010
  - HHSC Posts Responses to Vendor Questions on December 23, 2010
  - Applications due on January 3, 2011
  - Evaluate applications in January 2011
  - Tentative Award Announcement on January 20, 2011
  - Anticipated Contract Start Date of March 10, 2011
- Grant Period
  - March 2011 – September 2013

# State HIE Implementation Update

## Technology Standards Objective

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- Establish and maintain interoperability and other technical standards.
  - Commission technical consulting services to support identification of technical standards
  - Identify data exchange standards (including implementation specifications)
  - Identify technical security standards

# State HIE Implementation Update

## Technology Standards Progress Update

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- Identified the Texas Department of Information Resources' Information and Communications Technology Cooperative Contracts as method to procure consulting services
- Prepared Statement of Work for Consulting Services
  - Identify technical standards, data exchange standards, and technical security standard requirements to support the secure, interoperable exchange of health information among unaffiliated organizations
  - Develop an enterprise architecture blueprint that provides a six-year vision, divided into three two-year increments
  - Develop an implementation specification document that will act as a technical reference for HIE implementation, including connectivity to the Nationwide Health Information Network

# State HIE Implementation Update

## Technology Standards Timeline

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Tasks	Tentative Dates
Release Statement of Work	November 22, 2010
Deadline for Proposals	December 10, 2010
Evaluate Proposals	December 13 – 23, 2010
Interview Consultant Firms	January 3 – 7, 2011
Select Consultant Firm	January 7, 2011
Execute Consultant Services Contract	January 2011

# State HIE Implementation Update

## Privacy and Security Policy Development Objectives

- Establish and maintain privacy and security policies and standards
  - Commission data collection to support development of consent policy
  - Educate policymakers on consent options
  - Adopt consent policy (based on legislative direction)
  - Commission legal services to support development of trust agreements and consent form, and to provide ongoing analysis of legal framework
  - Develop Texas HIE trust agreements
  - Develop universal consent form
  - Identify security policy standards

# State HIE Implementation Update

## Privacy and Security Policy Development Update

- Commission legal services through HHSC. HHSC intends to enter into an interagency contract with University of Texas Health Law and Policy Institute
  - Develop a series of policy white papers on:
    - ✦ Trust agreements
    - ✦ Universal consent form
    - ✦ Privacy and security policies to be utilized by local and regional health information organizations to support interoperable HIE, including authorization, authentication, consent, access, audit, breach, and patient engagement
  - Coordinate with the THSA to solicit stakeholder input and consensus

# State HIE Implementation Update

## Public Opinion Research Update

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- Commission public opinion research on privacy and security through HHSC. HHSC intends to enter into an interagency contract with the Public Policy Research Institute at Texas A&M
  - Develop a project plan to conduct four focus groups around the State of Texas on public opinion regarding the exchange of personal health information
    - ✦ Occur in geographically diverse locations around the state
    - ✦ Include a representative sample of Texas' population by age, gender, and ethnicity
    - ✦ Ask members of the public to consider tradeoffs that may exist when providers access personal health information through health information exchanges. Participants should consider quality, cost, and control of medical information
    - ✦ Weigh tradeoffs to determine what level of patient control and consent is most desirable for achieving health information exchange

# State HIE Implementation Update

## Public Opinion Research Update

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- Begin implementation of the project plan no fewer than 30 days after the execution of the contract
- Maintain detailed documentation of focus group discussions
- Prepare analysis of each focus group
- Draft conclusions based on analysis. Conclusions should document and consider similar public opinion research
- Make recommendations for further research that could enhance the understanding of Texas patients' opinions and needs for privacy and security

# State HIE Implementation Update

## Legal Services and Public Opinion Research Timeline

### Legal Services – Privacy and Security

<u>Tasks</u>	<u>Tentative Dates</u>
Finalize Statement of Work	November 2010
Prepare Interagency Contract	November 2010
Execute Interagency Contract	January 2011

### Public Opinion Research

<u>Tasks</u>	<u>Tentative Dates</u>
Prepare Interagency Contract	November 2010
Execute Interagency Contract	January 2010
Conduct Focus Groups	January 2010
Provide Final Results, Analysis, and Recommendations	February 2010

# State HIE Implementation Update

## White Space RFP Objective and Timeline

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- Contract for HIE Services for Areas without Local HIE Activity
- Contractor(s) expected to fill same role in their communities as a HIO/RHIO
  - Support core HIE services
  - Develop strategies to increase lab and pharmacy automation and connectivity
  - Sponsor a community dialogue on HIE planning
  - Must adhere to statewide HIE policies and participate in the THSA's monitoring and evaluations
  - Support point-to-point connectivity through NHIN Direct

# State HIE Implementation Update

## White Space RFP Objective and Timeline

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White Space RFP	
<u>Tasks</u>	<u>Tentative Dates</u>
Develop White Space RFP	December 2010
Release White Space RFP	January 2011
Evaluate White Space Proposals	February 2011
Award White Space Contract(s)	March 2011

# State HIE Implementation Update

## Operations and other Administrative Items

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- Travel and Presentations: Sept – Nov 2010
  - Texas Tech Doctorate of Nursing Program (Gilman)
  - The Promise of Health Information Technology for the 21st Century, Rice University's Baker Institute (Gilman)
  - HIT Summit in Houston (Gilman/Cook)
  - Texas eHealth Symposium 2010 (Marx)
  - HITCON Rural Hospital Conference (Gilman/Cook)
  - Senator Wendy Davis (Marx)
  - Senator Bob Deuell (Marx)
  - Representative John Zerwas (Marx/Gilman)
  - Representative Donna Howard (Gilman)

# State HIE Implementation Update

## Operations and other Administrative Items

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- Travel and Presentations: Dec 2010 – Jan 2011
  - Representative Lois Kolkhorst (Marx/Gilman)
  - ONC All Grantee Meeting in Washington, DC (Gilman/Palmer/Costello)
  - Senator Nelson (Marx/Gilman)
  - TXHIMA Hill Day (Gilman/Palmer/Costello)

# State HIE Implementation Update

## Operations and other Administrative Items

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- Office Space Update
  - \$2,625 per month budgeted for office space (lease payment, utilities, and parking)
  - Non-binding letter of interest sent to Meridian Building management company on November 4, 2010
    - ✦ 1,270 square feet (small reception, 4 offices, and small conference room)
    - ✦ 16<sup>th</sup> and Rio Grande
    - ✦ 3 year lease with first 3 months rent free
    - ✦ \$2,348 rate per month + parking for first year
    - ✦ Tentative move-in date of February 1, 2011
- Tax Exempt Application Approved on November 4, 2010
- Annual Financial Audit
- THSA Staff Position Descriptions

# State HIT Coordinator Update

Presented by:

Stephen Palmer

Director, Office of e-Health Coordination,  
Texas Health and Human Services Commission

THSA Board of Directors Meeting

November 18, 2010

# Medicaid HIT Initiatives Update

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- Medicaid HIT Plan and EHR Incentive Program
- HIE Pilots
- Medicaid e-Prescribing Initiative

# Federal Focus on Provider Directories

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- ONC’s HIT Policy Committee created an Information Exchange Workgroup to “...investigate and make recommendations to facilitate creation of provider directories that enable universal health information exchange across the country.”
- The workgroup recognizes two broad categories of provider directories:
  - Routing Directories – directories that enable addressing of messages to ensure reliable and accurate routing of secure messages from one computer to another.
  - “Yellow pages” Directories – directories that enable person or computer to “look up” a clinician or health care entity’s routing information.

# Federal Focus on Privacy and Security

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- ONC's HIT Policy Committee created a Privacy and Security "Tiger Team" to consider how to build public trust and participation in HIT and HIE
- Summary of Initial Recommendations
  - Directed exchange for treatment does not require patient consent beyond what is required in current law or what has been customary practice
  - When the decision to disclose or exchange the patient's identifiable health information from the provider's record is not in the control of the provider or that provider's organized health care management organization ("OHCA), patients should be able to exercise meaningful consent to their participation. ONC should promote this policy through all of its levers.

# REC Update

Presented by:

Kathleen Mechler

Vice President, THSA

Co-Director/COO CentrEast REC

THSA Board of Directors Meeting

November 18, 2010

# REC Status Update

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- The Texas RECs will offer technical assistance, guidance, and information to support and accelerate health care providers' efforts to become meaningful users of Electronic Health Records.
- This support will target primary care clinicians through training and support services.
  - The four (4) RECs are operational and are signing up members.
  - Working to engage Federally Qualified Health Centers (FQHCs) and recently participated on a panel at the FQHC conference held in San Antonio.
  - Working on numerous points of collaboration to include: Texas Medical Association, Texas Medicaid, and regional Medicare office.

## **REC Status Update – Emerging Challenges**

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- The RECs are being approached by numerous potential HIE applicants to support in their efforts to apply for the upcoming grants. This places RECs in a position to support more than one HIE due to different needs per region as well as honoring different provider requirements.
- RECs are hearing numerous concerns regarding HIEs to include their scalability, maturity and sustainability models; as well as, the long term costs of HIE once the funding source(s) have been exhausted. The caution is that providers, particularly those with thin margins and in white space regions, cannot afford to be on the end of market failures.

# THSA



TEXAS HEALTH SERVICES AUTHORITY



BOARD OF DIRECTORS MEETING

NOVEMBER 18, 2010